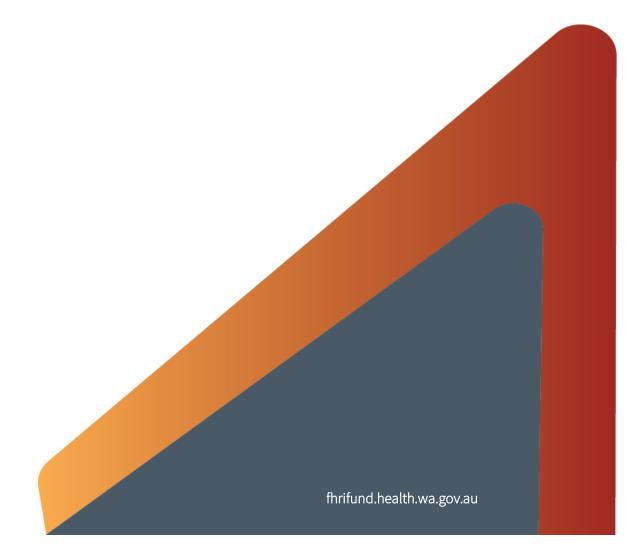


# Clinician Researcher Training Program 2024-25

## **Guidelines and Conditions**

## **Applications close:**

1:00 pm (AWST) Thursday 8 May 2025



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#### 1. Introduction

The Clinician Researcher Training (CRT) program (the Program) is a funding program of the Western Australian (WA) <u>Future Health Research and Innovation (FHRI) Fund</u>.

The FHRI Fund provides a secure source of funding to drive health and medical research, innovation and commercialisation and through these activities, improve the health and prosperity of all Western Australians. It also provides an opportunity to diversify the economy, create jobs, improve the sustainability of the health system and position WA as a leader in research and innovation.

The Program contributes to the FHRI Fund Priority Goals:

- support the development of early- and mid-career researchers, helping them to achieve an independent and self-sustaining career
- enhance clinical trial capacity and expertise to improve the quality of clinical trials in WA and make the State more attractive to funders of clinical trials (grant funding and commercial sponsors).

The expected outcomes are in alignment with the following objectives of the <u>Western</u> Australian Future Health Research and Innovation Fund Act 2012:

- improving the financial sustainability of Western Australia's health system
- improving the health and wellbeing of Western Australians
- advancing Western Australia to being, or maintaining Western Australia's position as, a national or international leader in research and innovation activities.

The Program is administered by the Office of Medical Research and Innovation (OMRI), WA Department of Health (Department of Health). Queries may be directed to DOH.OMRI@health.wa.gov.au.

## 2. Purpose

The purpose of the Program is to encourage and support clinicians (pre-consultant medical and dental, allied health, nursing and midwifery professionals) employed by eligible WA public health service providers<sup>1</sup> (HSP), public-private partnership<sup>2</sup> (PPP) or private hospitals to undertake formal research training.

The aims of the Program are to:

- embed a vibrant research culture within the WA public health system
- improve attraction and retention of high-quality clinicians in the WA health system
- improve patient care and health outcomes for Western Australians.

The objectives of the Program are to:

- build the clinician researcher workforce in WA
- support translatable health and medical research of significance to WA's health care system.

<sup>&</sup>lt;sup>1</sup> WA public health service provider means a health service provider established by an order made under section 32(1)(b) of the *Health Services Act 2016*, such as the Child and Adolescent Health Service, East Metropolitan Health Service, North Metropolitan Health Service, South Metropolitan Health Service, WA Country Health Service and PathWest.

<sup>&</sup>lt;sup>2</sup> Public-private partnership (PPP) provider refers to private hospitals with an agreement with the state government to provide public health services, for example, Joondalup Health Campus and St John of God Midland Public Hospital.

## 3. Program description

The Program will provide scholarship support packages for HSP, PPP provider and private hospital clinicians to undertake a clinically focussed Doctor of Philosophy (PhD) at a Western Australian based university, commencing in 2026. Scholarships are available across medical, dental, nursing, midwifery and allied health professions. A minimum number of scholarships are reserved for the following groups:

- Medical and dental 3 scholarships
- Nursing and midwifery 2 scholarships
- Allied Health 2 scholarships
- Rural and/or remote 1 scholarship, across any of the above professions

The remaining scholarships will be allocated across the above groups.

Activity Leads (clinician PhD candidates) will be required to:

• undertake PhD studies full-time over a period of 3.5 years, however, a limited number of part-time scholarships will be available where justified

Activity Leads are encouraged to:

 maintain clinical appointments of 0.2 FTE (or 0.5 FTE if part-time) at their HSP, PPP provider or private hospital to ensure alignment between their research and clinical field.

HSP, PPP provider or private hospital supervisors will be required to:

- assist the Activity Lead to develop a suitable project idea in their clinical field that addresses a priority area of the HSP, PPP provider or private hospital provider
- co-supervise the Activity Leads studies.

University supervisors will be required to co-supervise the Activity Leads studies.

The scholarship support package will consist of a FHRI Fund cash contribution, a cash cocontribution from another funding source and in-kind contributions from the Responsible Entity (see the 'Program conditions' section).

Funding will be awarded through a competitive and merit-based process.

The Activity Lead will be responsible for coordinating the Activity (PhD project) and ensuring its timely execution.

The Responsible Entity\* will be accountable for the governance and financial management of any funding awarded.

\* It is acknowledged that the term Administering Institution has traditionally been used by universities and research institutes, however for this grant, the term Responsible Entity is inclusive of industry and reflects that grant agreements are the responsibility of the contracted entity.

## 4. Eligibility

To be eligible for this Program all of the following criteria apply:

- The Responsible Entity must:
  - have an active Australian Business Number (ABN)
  - have a physical and operational presence in WA
  - be a WA university
  - o commit to providing in-kind support that includes a waiver of course fees
  - o commit to assisting the Activity Lead to secure other source funding as set out in the 'Program conditions'.
- The Activity Lead must:

- have secured a place in a PhD course at their chosen university prior to submitting the application
- physically reside in WA for a minimum of 80 per cent of the period of the Activity
- be an Australian or New Zealand citizen, a permanent resident of Australia, or have an appropriate work visa in place for the duration of the Activity
- if on a work visa, have worked in a clinical role at an HSP, PPP provider or private hospital in WA for at least 2 years
- have a minimum of 2 years full-time equivalent clinical experience in a medical (pre-consultant level), dental, allied health, registered nurse or midwifery profession
- be employed at a 0.2 FTE minimum as a registered clinician in a medical (pre-consultant level), dental, allied health, registered nurse or midwifery profession at a WA HSP, PPP provider or private hospital at the time of application
- if a medical clinician, must not exceed a doctor in training (Resident Medical officers or Registrars) level for the duration of the scholarship
- o meet the eligibility requirements to enrol in a PhD at the Responsible Entity
- o have not already commenced or completed a PhD
- o be able to commence the PhD within one year of award
- not be in receipt of another scholarship or funding source to undertake the PhD
- o provide evidence of \$80,000 funding (cash) from other partner sources
- have no overdue reports for any grant funding program administered by OMRI (including FHRI Fund programs) from any year (excludes authorised extensions).
- The Responsible Entity or other entities that fund or are involved in the Activity must not be part of an industry that produces products or services that may contribute to poor physical health or mental wellbeing of the community.
- The grant funding must not constitute the entire financial base of the Responsible Entity i.e. the Responsible Entity must have other external sources of income.
- The Responsible Entity must ensure applications meet all eligibility criteria as set out in these guidelines.
- Applications must be submitted in accordance with the 'Application instructions' section of this document.
- An application may be deemed ineligible and excluded from further consideration if OMRI identifies that:
  - o it does not meet all eligibility criteria as set out in these guidelines
  - the proposed Activity duplicates activity previously or currently being undertaken
  - o it includes any incomplete, false or misleading information
  - o it was submitted after the advertised closing date and time.
- Grant offers may be withdrawn if it is determined that eligibility criteria are not met.
- OMRI reserves the right to request further information and make final decisions regarding eligibility.
- Decisions made in relation to previous grant programs will not be regarded as precedents and will not be considered when assessing eligibility for this grant program.

## 5. Program funding

FHRI Fund grants will be offered as a scholarship support package as per tables below over a period of up to 3.5 years for full-time studies and 7 years for part-time studies.

It is intended that the Activity Lead undertakes a PhD full-time. An exception to this may be considered where adequate justification is made to support equitable opportunities.

Taxation advice should be sought from the <u>Australian Taxation Office</u> for scholarship payments which will differ based on part-time and full-time status.

The scholarship support package provides support for study, living and travel expenses and will include a protected allocation of up to \$70,000 for project costs (for part-time and full-time) which must be justified.

Full-time Scholarship package – 3.5 years	Scholarship stipend	Project costs
Medical and dental	\$280,000	up to \$70,000
Nursing and midwifery	\$230,000	up to \$70,000
Allied Health	\$230,000	up to \$70,000

Part-time Scholarship package – 7 years	Scholarship stipend	Project costs
Medical and dental	\$330,000	up to \$70,000
Nursing and midwifery	\$260,000	up to \$70,000
Allied Health	\$260,000	up to \$70,000

Funding for project costs will be in accordance with the following:

- may include expenses such as essential services, supplies, unique equipment and consumer involvement
- travel will not be approved unless strongly justified as being essential to the undertaking of the Activity and must not include costs related to conference attendance
- costs may be requested for equipment, however, the total value of all equipment items must not exceed \$15,000, and quotes for each item must be attached to the application.

Funding will only be made available for the scope of work described in the Application Form, or any modifications to the scope of work approved in writing by OMRI. The Department of Health will not underwrite any costs beyond the funding awarded through the Program.

The intention is that funding will be spent within WA unless goods and services expenditure items are not available in WA and/or it is beneficial to WA if goods or services are procured from outside WA.

All project budget items should be adequately described and justified as consideration is given to project budgets during the assessment process.

Project budgets must be calculated accurately, as requests for additional funding will not be considered.

Funding is offered subject to budget availability, which could be varied in the event of unforeseen circumstances.

The scholarship support package must consist of a cash co-contribution from another funding source and in-kind contributions from the Responsible Entity.

## 6. Program conditions

## Scholarship support package

Applications must describe a suitable scholarship support package for the Activity Lead. The scholarship support package is to be made up of the following components:

- FHRI Fund cash contribution
- other source cash contribution
- Responsible Entity in-kind contribution.

Scholarship support package contributions for full-time studies over 3.5 years are outlined below:

Medical and dental – full-time – 3.5 yrs			
Contribution	FHRI Fund	Other source	University
Scholarship – cash	\$200,000	\$80,000	\$0
Project – cash	\$70,000	\$0	\$0
Scholarship – in-kind	\$0	\$0	<ul><li>PhD Fees</li><li>Grant administration</li><li>Co-supervisor</li></ul>
Total	\$270,000	\$80,000	Scholarship package = \$350,000 (cash) and inkind

Nursing, midwifery, and allied health – full-time – 3.5 yrs				
Contribution	FHRI Fund	Other source	University	
Scholarship – cash	\$150,000	\$80,000	\$0	
Project – cash	\$70,000	\$0	\$0	
Scholarship – in-kind	\$0	\$0	<ul><li>PhD Fees</li><li>Grant administration</li><li>Co-supervisor</li></ul>	
Total	\$220,000	\$80,000	Scholarship package = \$300,000 (cash) and in-kind	

Scholarship support package contributions for part-time studies over 7 years are outlined below:

Medical and dental – part-time – 7yrs				
Contribution FHRI Fund Other source University				
Scholarship – cash	\$250,000	\$80,000	\$0	

Project – cash	\$70,000	\$0	\$0
Scholarship – in-kind	\$0	\$0	<ul><li>PhD Fees</li><li>Grant administration</li><li>Co-Supervisor</li></ul>
Total	\$320,000	\$80,000	Scholarship package = \$400,000 \$ (cash) and in-kind

Nursing, midwifery, and allied health – part-time – 7yrs				
Contribution	FHRI Fund	Other source	University	
Scholarship – cash	\$180,000	\$80,000	\$0	
Project – cash	\$70,000	\$0	\$0	
Scholarship – in-kind	\$0	\$0	<ul><li>PhD Fees</li><li>Grant administration</li><li>Co-supervisor</li></ul>	
Total	\$250,000	\$80.000	Scholarship package = \$330,000 \$ (cash) and in-kind	

#### Part-time candidates

Candidates enrolled in a part-time PhD course are encouraged to maintain a clinical appointment of 0.5 FTE at their HSP, PPP provider or private hospital, for the duration of their scholarship. Part-time PhD candidates must maintain clinical appointments of no less than 0.2 FTE.

#### Other source funding

A cash co-contribution of \$80,000 is required from another funding source (scholarship partner), which may include a hospital research foundation, philanthropic source or university.

Co-naming rights for the co-funded scholarship support packages will be assigned to the participating hospital research foundation or other funding body providing a cash co-funding contribution.

The Activity Lead, with support from the Responsible Entity, is expected to approach and secure the cash co-contribution.

Co-contributions may be sourced from, but are not limited to, hospital research foundations who have indicated their support of the Program below, noting they have limited funding available. If Activity Leads are seeking a cash co-contribution from a hospital research foundation, they should email the research foundation contact listed below to discuss next steps.

Hospital research	Eligible applicants	Contact details
foundation		

Royal Perth Hospital (RPH) Medical Research Foundation	Clinicians employed at EMHS at the commencement of the PhD	Gemma Walker, Research Grants Manager research@rphresearchfoundation.org.au
Charlies Foundation for Research	Clinicians employed at NMHS at the commencement of the PhD	Vicki Rasmussen, CEO Vicki@charliesfoundation.org.au
Hospital Research Foundation Group	Clinicians employed at WACHS, SMHS and PathWest will be prioritised. Will consider applicants from HSPs/PPP providers that do	Jim Deed, Head of Grants grants@hospitalresearch.org.au
	not otherwise have an associated hospital research foundation.	
	Clinicians must be employed by the relevant provider at time of application and commencement of the PhD.	
Perth Children's Hospital Foundation	Clinicians employed at CAHS	Perth Children's Hospital Foundation Grants team: grants@pchf.org.au

## PhD project eligibility

#### PhD projects must:

- demonstrate alignment with HSP, PPP provider or private hospital strategic and health priorities
- demonstrate how it aims to advance clinical practice in the relevant field
- be overseen by a suitably qualified HSP, PPP provider or private hospital and university supervisor
- demonstrate consumer involvement in design and implementation of the project
- be endorsed by the HSP, PPP provider or private hospital and certified by the Responsible Entity.

#### PhD conditions

- The Activity Lead:
  - must secure a place in a PhD course at their chosen university prior to applying for this scholarship and submit an application to a PhD course at their chosen university by Thursday 13 March 2025
  - must be registered for and use an ORCID iD when submitting grant applications and publications
  - will undertake research in their area of speciality training or clinical work, allowing for extensive synergies between clinical and research activities
  - must agree to abide exclusively with the Responsible Entity's policies and procedures with respect to all aspects of their PhD program
  - will be expected to apply for a FHRI Fund/Raine Medical Research Foundation Clinician Research Fellowship during their candidature to ensure they have an ongoing means to continue their clinician research career once the scholarship ends

- is permitted, and encouraged, to seek additional sources of funding for the PhD project, with the provision that for the duration of the scholarship, the PhD candidate must not be in receipt of funding that is more than 1.0 FTE equivalent of salary
- in addition to examination obligations, will deliver oral and written presentations on the outcomes of their studies to a range of stakeholders as requested. At a minimum these will include at:
  - FHRI Fund events
  - an approved medical research society's annual meeting e.g. ASMR or annual symposium of the co-funding hospital research foundation where applicable
  - discipline specific presentations as required by the respective HSP, PPP provider or private hospital provider.
- The Responsible Entity must return any unused FHRI Fund scholarship contribution (stipend and project costs) if the Activity Lead completes the PhD project in a reduced timeframe (as indicated by submission of their thesis).
- The principal supervisor must be a suitably qualified HSP, PPP provider or private hospital or university employee.

#### Change of candidate employment

If the Activity Lead's employer changes and the new employer is an HSP, PPP provider or private hospital, the existing scholarship support package may continue if agreed by the HSP, PPP provider or private hospital and other funding source provider(s). If the new employer is not an HSP, PPP provider or private hospital based in WA the Activity Lead will no longer be eligible for the scholarship support package.

## 7. Application instructions

Activity Leads should follow the below steps to make an application:

- 1. Check eligibility criteria for a PhD at their chosen university is met.
- 2. Contact HSP, PPP provider or private hospital research office to express interest in the program and ascertain the priority areas for their health service.
- 3. Secure a clinical supervisor at their HSP, PPP provider or private hospital and develop a project idea that addresses a priority area.
- 4. Contact the chosen university to secure a university supervisor and continue to develop the project idea. The hospital supervisor should be involved in this process.
- 5. Apply for a PhD course at their chosen university by Thursday 13 March 2025 to allow for processing.
- 6. With support from the university, secure a commitment of other source funding contributions. Refer to the 'Program Conditions' section for further information.
- 7. Finalise and submit the certified Program application.

The instructions below must be followed when making a submission:

- The Application Form must be submitted via the Department of Health Grant Management System by 1:00 pm (AWST) Thursday 8 May 2025.
- Applications must be complete, include requested certifications and be submitted by the closing date/time. Consideration must be given to the time needed to comply with internal deadlines.

Instructions for the Grant Management System are located at <a href="https://fhrifund.health.wa.gov.au/Funding/GMS-link-page">https://fhrifund.health.wa.gov.au/Funding/GMS-link-page</a>.

Please note that the Grant Management System Application Form is not yet published. The application questions are provided in the Application Form in word document format so that you can commence preparing your application.

The Grant Management System Application Form will be available online closer to the Application due date.

Acknowledgement of receipt of the Application Form will be provided via email to the Responsible Entity, Activity Lead and supervisors after submission in the Grant Management System.

Queries related to the Guidelines and Conditions can be directed to <a href="mailto:DOH.OMRI@health.wa.gov.au">DOH.OMRI@health.wa.gov.au</a> with the subject line beginning with 'Query – CRT2024-25'.

Queries regarding the application process can be directed to <a href="mailto:DOH.GMS@health.wa.gov.au">DOH.GMS@health.wa.gov.au</a> with the subject line beginning with 'GMS Application Assistance – CRT2024-25.

Activity Leads may seek information regarding PhD course enrolments via the university websites and can seek further information by contacting the graduate research offices listed in the table below.

University	Website	Contact
Curtin University	Doctor of Philosophy   Curtin University	roc.grs@curtin.edu.au
University of Western Australia (UWA)	Doctor of Philosophy   The University of Western Australia	applications-grs@uwa.edu.au
Edith Cowan University (ECU)	Doctor of Philosophy   ECU	grs@ecu.edu.au
Murdoch University	Doctor of Philosophy   Murdoch University	graduateresearchdegrees@murdoch.edu.au
University of Notre Dame	Doctor of Philosophy   The University of Notre Dame	hdr@nd.edu.au

#### International students

It is recommended that candidates who are not Australian citizens or permanent residents contact the potential chosen university and HSP, PPP provider or private hospital to determine if their application can be supported within relevant university international scholarship caps, eligibility and visa limitations.

## 8. Selection process

### Assessment process

Funding will be awarded on merit, based on a process of assessment and selection.

Depending on the number of applications received, a review panel may conduct a shortlisting assessment stage to determine the eligible applications that are most aligned with the aims and objectives of the Program.

All eligible applications, or only those selected if a shortlisting assessment stage is undertaken, will be referred for full assessment and scoring by a review panel comprising

of health and medical research experts, consumer representative(s), HSP, PPP provider or private hospital representatives, WA university representatives and clinical representatives.

Conflicts of interest that may arise will be treated in accordance with the WA health system Managing Conflicts of Interest Policy.

The full assessment will be based on the criteria and % weightings set out in the table below.

	0/
Assessment Criteria	%
<ul> <li>Significance of the Activity</li> <li>the issue and its significance to the employing HSP, PPP provider or private hospital priority area and the broader WA health system (e.g. incidence, prevalence, burden of disease, impact on delivery or cost of health service)</li> <li>how the proposed Activity will address the issue described above</li> <li>the expected benefits to the WA health system (e.g. reduce inequities, improved efficiencies and cost savings, economic, social and environmental benefits)</li> <li>the potential impact on clinical practice and other existing programs that are currently operating in this area.</li> </ul>	20
<ul> <li>Activity plan for the PhD project</li> <li>the Activity objectives, ensuring these are specific, measurable, attainable, relevant and time-bound</li> <li>the methodology that will be followed, including techniques, target group(s), a realistic sample size, and how achievement of the Activity objectives will be demonstrated</li> <li>a list of all locations where the Activity will be undertaken, ethics and governance approvals and agreements that will be required before the Activity can proceed (if any research is undertaken at a WA public health system site or involves a WA public health system employee, ethics approval must be sought from a WA Health HREC, as per the Department of Health Research Governance Framework)</li> <li>demonstrated suitability of the supervisory team for the project</li> <li>an achievable timeline.</li> </ul>	20
<ul> <li>Activity Lead track record</li> <li>the Activity Lead's contribution to translational research</li> <li>the Activity Lead's track record of influencing policy and/or practice</li> <li>the Activity Lead's educational, research and experience within the field of the proposed PhD project including technical skills and research related knowledge</li> <li>the Activity Lead's capacity and plan for meeting the deliverables (as outlined in the 'Program description' section of the Guidelines and Conditions).</li> </ul>	15
<ul> <li>Activity Lead potential</li> <li>the Activity Lead's demonstrated commitment, expertise and motivation for a lasting clinical research career</li> <li>the Activity Lead's commitment, expertise and motivation to undertake the Activity</li> <li>the Activity Lead's career plan, including key milestones for achieving an independent and self-sustaining career in WA and broader translational research interests</li> <li>if a part-time option has been selected, provide a justification for the request. It is intended that applicants undertake a PhD full-time. An exception to this may be</li> </ul>	25

considered where adequate justification is made to support equitable opportunities  • letters of support from nominated supervisors will be considered in scoring.	
Consumer involvement	10
<ul> <li>how consumers (people with lived experience of a health issue, including patients and potential patients, carers and people who use health care services) have been involved in the development of the proposed Activity</li> <li>the plan for ongoing consumer engagement in the Activity, including their roles and how their lived experience perspectives will inform the Activity through formal and informal processes.</li> </ul>	
Translation and implementation	10
<ul> <li>planned steps for the translation and/or implementation of findings and the Activity timeframes for translational impacts</li> <li>how the knowledge, expertise and experience of the Activity Lead and</li> </ul>	
supervisors will assist with the process of translating findings into policy and/or practice	
<ul> <li>future plans for the Activity. For example, a possible extension of the Activity to a broader geographical area, population or to other disciplines.</li> </ul>	

#### Selection of recipients

Based on the assessment and recommendations of the review panel(s), the Department of Health will determine and approve the awarding of grants in accordance with the Department of Health financial and procurement processes and delegation authorities.

OMRI reserves the right to offer lower funding rates than requested and/or request modification to the Activity on a case-by-case basis.

#### 9. Consumer involvement

In line with the National Health and Medical Research Council (NHMRC) definition, consumers are people who have lived experience of a health issue. They include patients and potential patients, carers, and people who use health care services. Consumers can also be people who represent the views and interests of a consumer organisation, a community or a wider constituency.

There is increasing recognition of the benefits of involving consumers in research and innovation. Effective consumer involvement can ensure research and innovation is relevant to the WA community and improves translation into policy and practice.

Health consumers should be engaged during the development of funding applications and embedded in the proposed Activity by being provided with a detailed description of their role and contribution and, where appropriate, included as a team member.

Consumer involvement should incorporate:

- clearly defined relationships with health consumers or community groups who have 'lived experience' of the issue the Activity addresses
- demonstrated understanding of the benefits derived from involving people with a lived experience
- inclusion of consumers in the Activity where appropriate
- plans to involve consumers in the Activity throughout the delivery timeline
- budget strategy with funds allocated to support, implement and acknowledge consumer involvement (e.g. training opportunities, honoraria and payments,

additional time to support involvement activities, administration support and consultations and events associated with involvement activities).

Guidance on consumer involvement can be found at the <u>Consumer and Community Involvement Program</u> website and the <u>NHMRC Statement on Consumer and Community Involvement in Health and Medical Research 2016.</u>

It is recommended that all team members complete the free online 30 minute <u>Consumer and Community Involvement in Health Research</u> course (or equivalent) and for the Activity Lead to complete the free online 30 minute <u>Consumer & Community Involvement and Grant Writing</u> course.

## 10. Contractual arrangements

Grants to entities external to the WA public health system are offered in accordance with the Department of Health Grant Funding Agreement (and its Terms and Conditions) which is a legal agreement between the Department of Health (Us) and the Responsible Entity (You).

The Responsible Entity must ensure that appropriate agreements are in place with the Activity Lead, team members and participating entities.

The Department of Health reserves the right to withdraw an offer of award to a Responsible Entity if the Grant Funding Agreement and/or Grant Funding Agreement Terms and Conditions cannot be agreed between the parties.

#### Insurance

A Responsible Entity external to the WA public health system will be required to provide evidence of insurance as a condition of the Grant Funding Agreement which may include:

- Public Liability (mandatory for all grants)
- Professional Indemnity (mandatory if the Responsible Entity is conducting a clinical trial, provides any form of medical treatment or advice, training, or will provide any tailored design, advice or specification services)
- Property for the Responsible Entity's replacement value of assets (mandatory for building, plant, machinery, equipment)
- Workers Compensation (mandatory if the Responsible Entity has employees or is paying salaries, noting this includes payments to working Directors)
- Product Liability (mandatory if the Responsible Entity manufactures, supplies, sells, services or repairs a product)
- Motor Vehicle if the Responsible Entity owns vehicles
- Clinical Trials if the Responsible Entity undertakes clinical trials (note this insurance may include Professional Indemnity)
- Cyber Liability if the Activity involves confidential data, e.g. identifiable patient information.

OMRI recommends that you seek advice from your insurance advisors to confirm what level and type of insurance is required for the Activity.

The Responsible Entity is responsible for ensuring participating entities have appropriate insurance.

Note that any Activity that requires site governance approval will also be required to provide evidence of appropriate insurance during the governance process, which may vary depending on the site.

## Intellectual Property

Intellectual Property (IP) that arises out of the Activity will vest with the Responsible Entity (You). However, consideration will be given to the provisions of the <u>Western Australian</u> <u>Government Intellectual Property Policy 2023</u> (or any future iterations of this), and that IP rights should be allocated to optimise the economic, social or environmental benefits for WA from the use, commercialisation and disposal of the IP. For information, the IP clause that will apply to this Program is:

- 1. The ownership of any Intellectual Property generated by undertaking the Activity shall vest in You.
- 2. The ownership of any background or pre-existing Intellectual Property and associated Moral Rights, used or incorporated in the Activity that is presently vested in a Party shall remain vested in that Party, unless otherwise agreed.
- 3. Each Party will be entirely and solely responsible for the use in the Activity of any Intellectual Property and associated Moral Rights it has provided to undertake the Activity which belongs to, or is licensed from, any other party, and indemnifies the other Party against all claims by a third party arising out of use of that Intellectual Property and associated Moral Rights.
- 4. Subject to the confidentiality provisions of the Agreement, You hereby grant to Us, a non-exclusive, irrevocable, perpetual, royalty-free licence to use (excluding the ability to sub-licence or grant further licences) any of the Intellectual Property generated in the Activity, and which falls within the scope of WA Health's normal activities. This includes, but is not necessarily limited to, activities related to healthcare provision, teaching, training and research. This license does not automatically extend to any potential or eventual commercial development of the Intellectual Property, and any commercial products that might directly or indirectly result from the Activity Intellectual Property. However, where You believe that there is the potential for commercialisation of the Intellectual Property developed in the course of the Activity, both Parties shall negotiate in good faith the appropriate legal and beneficial interests, rights and access to the Intellectual Property by Us.
- 5. You indemnify and will keep indemnified Us and all Our respective officers, employees and agents from and against all costs, losses, expenses, actions, suits, demands, claims, damages and other liabilities resulting from Your failure to comply with this agreement, or otherwise resulting from the actual or alleged infringement of the Intellectual Property rights or associated Moral Rights of any third party by You.
- 6. Your obligations under this Agreement are continuing and survive expiration or termination of the Agreement.

Where relevant, agreements between the Activity Lead, team members and participating entities must include relevant permissions to use third-party IP required to deliver the Activity and have Freedom to Operate for the Activity. When a team includes a member(s) from the WA public health system as a participant in the Activity (i.e. the WA public health entity is not the Responsible Entity), the IP agreement must be authorised at an appropriate level by the relevant WA public health system entity.

Any questions regarding such IP matters should, in the first instance, be directed to OMRI (DOH.OMRI@health.wa.gov.au).

#### Requests for variation

Requests for variations to the Grant Funding Agreement such as Activity description or Responsible Entity, must be directed to OMRI. Approval of the variation will be at the discretion of the Department of Health. If variations are not approved this could result in termination of the grant with associated funding reverting to, or being recoverable by, the Department of Health, where for example eligibility or viability of the Activity is affected.

## 11. Funding conditions

### Payment instalments

Funding will be provided in instalments\* to the Responsible Entity as follows:

- The first instalment will be subject to execution of a Grant Funding Agreement.
- Subsequent instalments, if applicable, will be subject to provision of satisfactory Progress Reports.
- \* Within the WA public health system, payment will be made to the Responsible Entity via a General Ledger Journal (GLJ) transfer progressively upon receipt of evidence of expenditure.

If ethics and governance approvals are required (refer to 'Approvals' section of this document), then the Responsible Entity may only release the first instalment to the Activity Lead once all approvals for the Activity have been obtained and lodged with the Responsible Entity.

## Partial payment or suspension of funds

The Department of Health reserves the right to:

- provide funding instalments in parts, based on Activity to date and risk assessment of future Activity
- suspend payment of funding instalments or part instalments where Activity viability has become uncertain.

#### Termination of funds

Funds shall revert to, or be recoverable by, the Department of Health in instances where:

- eligibility requirements are no longer met
- the Activity is terminated by OMRI as a result of insufficient progress being made, or it has been otherwise determined by either the Responsible Entity or OMRI that the Activity is no longer viable
- funds are used for purposes other than those for which they were awarded
- funds were spent on activities that require ethics and/or governance approvals and such approvals were not obtained before undertaking the activities
- funds are not fully expended at the conclusion of the Activity i.e. the PhD is completed in a reduced timeframe (including any extensions approved by OMRI) or project costs are not fully spent
- it is determined that misleading or fraudulent information has been provided
- the Responsible Entity does not enter into formal agreements with respect to this Activity, which includes Intellectual Property ownership, where appropriate
- other entities fund or are involved in the Activity that are part of an industry that
  produces products or services that may contribute to poor physical health or mental
  wellbeing of the community
- other funding source providers discontinue the scholarship support package and an alternative is not found.

## 12. Approvals

## Research ethics and research governance

The Responsible Entity, and any participating entity, will be responsible for obtaining and lodging all relevant research ethics and governance approvals that are required for undertaking funded activities, and ensuring these are maintained as required for the duration of the Activity.

Research ethics approvals must be obtained from relevant ethics committees (human and/or animal). Research governance authorisation (also known as site specific assessment or access request review) must be obtained from each relevant institution/site conducting the Activity or providing access to data, participants or tissue samples.

For information on research ethics and governance submission requirements for the WA public health system please refer to the following websites: Research Ethics; Research Governance; Multi-centre Research.

#### Use of data collections

An Activity that requires access to and use of WA Department of Health data collections requires review and approval for data release in accordance with the <u>Health Services Act</u> <u>2016</u> and the <u>Health Services (Information) Regulations 2017</u>. This is in addition to research ethics and governance approvals and will include a feasibility assessment to determine whether the data requested is appropriate for the purposes of the study and approval for use of the data from the data custodian.

Preliminary cost and time estimates can be obtained by contacting <a href="DataServ@health.wa.gov.au">DataServ@health.wa.gov.au</a>. Cost estimates should be included in the proposed budget and an estimate of time for release of the data should be incorporated into the milestones in the Application Form.

For further information please review the **Data Linkage Services** website.

Should the application for funding be successful, we recommend you immediately begin the data request and approval process.

## 13. Reporting

The Activity Lead and Responsible Entity are responsible for meeting reporting requirements over the duration of the Activity and at its conclusion.

All reports are to be completed on templates provided by OMRI.

#### **Progress Activity Report**

Progress Reports may be required as stipulated in the Grant Funding Agreement.

OMRI reserves the right to request a Progress Report at any point.

OMRI reserves the right to suspend or withdraw funding where insufficient progress has been made.

#### Final Activity Report

A Final Report detailing the Activity and outcomes must be submitted to OMRI at the conclusion of the Activity. Failure to submit the Final Report at this time may render the Activity Lead ineligible for further funding from the FHRI Fund and OMRI until the Final Report is received.

## Financial Report

A financial acquittal statement outlining the expenditure of funds must be submitted to OMRI at the conclusion of the Activity. Acquittal statements must be certified by an authorised finance officer (or equivalent) of the Responsible Entity.

OMRI reserves the right to request interim Financial Reports at any stage during the Activity.

Any unexpended funds must be returned to the Department of Health. Any overexpenditure is the responsibility of the Responsible Entity, and no claim may be made against the Department of Health.

## Community Stakeholder Brief

In order to provide feedback to consumers, a one-page *Community Stakeholder Brief* which includes an outline of the Activity, its outcomes, and next steps is to be provided by the Activity Lead to all participating consumers and a copy submitted to OMRI with the Final Activity Report.

## 14. Publicising, acknowledgements and publications

The Minister for Medical Research and/or the Department of Health will publicly announce recipients, including the title of the Activity. All other parties must withhold announcement/media coverage until after OMRI advises this has occurred.

Acknowledgement of FHRI Fund support must be made in publications, conference presentations, public discussion, press statements etc. A copy of any published material or media must be provided to Us.

In order to maximise knowledge exchange, funding recipients must comply with the NHMRC 'Publication and dissemination of research: a guide supporting the Australian Code for the Responsible Conduct of Research', which can be downloaded from the <u>Australian Code for the Responsible Conduct of Research</u> page, and the <u>NHMRC Open Access Policy</u>.

## 15. Confidentiality

Activity title, Activity Lead, funding amount, Responsible Entity, plain language summaries and sections indicated on applications or reports may be used for publicity purposes.

All other information provided in applications and reports will be maintained confidentially by OMRI, review panels, evaluation panels and the FHRI Fund Advisory Council. If requests are received by OMRI to make public any aspect of the Activity, other than the aspects listed above, the authorisation of the Responsible Entity will be sought, notwithstanding information requested under the <u>Freedom of Information Act 1992 (WA)</u> or information pertaining to the receipt of State Government financial assistance tabled in the Parliament of Western Australia.

#### 16. Evaluation

OMRI undertakes evaluations of Funding Programs, which will include unsuccessful applications. All parties in the application, including team members and consumer representatives, are required to contribute to the evaluation.

## 17. Complaints

Responsible Entities or Activity Leads who feel that their interests have been adversely affected by an action taken by OMRI in administering the Program may lodge a complaint. Complaints can only be considered when they refer to the administrative process and not to the funding decision. Complaints must be submitted via email (marked Confidential) to: Deputy Director General (OfficeoftheDDG@health.wa.gov.au).



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