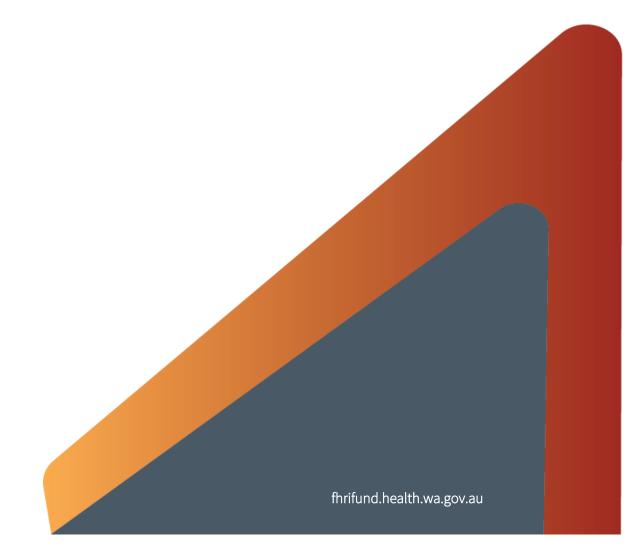


# Research Infrastructure Support Program 2025

# **Guidelines and Conditions**

### **Applications close:**

1:00 pm (AWST) Tuesday 4 March 2025



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#### 1. Introduction

The Research Infrastructure Support (RIS) program (the Program) is a funding program of the Western Australian (WA) <u>Future Health Research and Innovation (FHRI) Fund</u>.

The FHRI Fund provides a secure source of funding to drive health and medical research, innovation and commercialisation and through these activities, improve the health and prosperity of all Western Australians. It also provides an opportunity to diversify the economy, create jobs, improve the sustainability of the health system and position WA as a leader in research and innovation.

The Program contributes to the FHRI Fund Priority Goals:

 enhance clinical trial capacity and expertise to improve the quality of clinical trials in WA and make the State more attractive to funders of clinical trials (grant funding and commercial sponsors)

and to the FHRI Fund Strategy objective:

• Theme 3: Platforms: Support new or existing infrastructure to elevate WA as an international leader in research and innovation.

The expected outcomes are in alignment with the following objects of the <u>Western</u> Australian Future Health Research and Innovation Fund Act 2012:

- improving Western Australia's economic prosperity
- advancing Western Australia to being, or maintaining Western Australia's position as, a national or international leader in research and innovation activities.

The Program is administered by the Office of Medical Research and Innovation (OMRI), WA Department of Health (Department of Health). Queries may be directed to DOH.OMRI@health.wa.gov.au.

#### 2. Purpose

The purpose of the Program is to provide research infrastructure support to eligible Responsible Entities.

Research infrastructure refers to resources essential for supporting quality health and medical research, where these resources are not directly funded by research grants. This includes indirect costs of health and medical research e.g. administrative, technical and support staff; and costs associated with operating research facilities, services and equipment required to conduct health and medical research.

The aims of the Program are to:

- build a sustainable research foundation for research developments, translation, commercialisation, and economic growth
- promote excellence in health and medical research in WA
- increase the capacity of the WA research sector to deliver world class health and medical research.

The objectives of the Program are to:

- attract and retain high-quality researchers
- create employment opportunities
- increase competitiveness in national and international funding
- increase research translation and commercialisation.

#### 3. Program description

The Program provides support to eligible WA based medical research institutes (MRIs), universities, WA public health service providers<sup>1</sup> (HSPs), Public-private partnership<sup>2</sup> (PPP) providers and private hospitals, for the indirect costs of research and operational costs of research equipment, services and facilities for the 2025 calendar year (the Activity).

Funding will be provided through two streams:

#### Stream 1

Provides funding for the Responsible Entity's indirect costs of research. Indirect costs are those incurred by the Responsible Entity to support research which cannot be directly attributed to research income.

Stream 1 funding will be awarded based on the Responsible Entity's previous three calendar years average of *eligible research income*.

#### Stream 2

Provides funding for the Responsible Entity's operational costs of shared research facilities (buildings and laboratory spaces), research equipment and research services to ensure their access to researchers based at a minimum of 3 external organisations beyond the host organisation.

Stream 2 funding will be awarded based on the Responsible Entity's previous three calendar years average of *eligible operational research costs*.

Responsible Entities may apply to one or both streams.

The Responsible Entity\* will be accountable for the governance and financial management of any funding awarded.

\* It is acknowledged that the term Administering Institution has traditionally been used by universities and research institutes, however for this grant, the term Responsible Entity is inclusive of industry and reflects that grant agreements are the responsibility of the contracted entity.

#### 4. Eligibility

To be eligible for this Program all of the following criteria apply:

- The Responsible Entity must:
  - have an active Australian Business Number (ABN)
  - o have a physical and operational presence in WA
  - o be a WA university, WA MRI, WA HSP, private hospital or a WA PPP provider
  - have an appropriate accounting body to manage and account for the use of Program funding
  - have no overdue Research Infrastructure Support and the previous Research Institute Support program reports from any year.
- MRIs must:

o be established principally for the conduct of health and/or medical research.

<sup>&</sup>lt;sup>1</sup> WA public health service provider means a health service provider established by an order made under section 32(1)(b) of the *Health Services Act 2016*, such as the Child and Adolescent Health Service, East Metropolitan Health Service, North Metropolitan Health Service, South Metropolitan Health Service, WA Country Health Service and PathWest.

<sup>&</sup>lt;sup>2</sup> Public-private partnership (PPP) provider refers to private hospitals with an agreement with the state government to provide public health services, for example, Joondalup Health Campus and St John of God Midland Public Hospital.

- o be a legally established WA medical research institution, with an ABN
- operate as a separate research institution or organisation, with a name distinct from that of any host institution
- own or have secure tenancy arrangements for the premises in which the research is conducted
- employ a Scientific Director (or equivalent) who has been a consistent (not one-off) recipient of competitive peer-reviewed research grants.

#### Universities must:

- be a Tertiary Education Quality and Standards Agency (TEQSA) registered university, with an ABN
- provide a program of health and medical research that is overseen by a Deputy Vice-Chancellor of Research or equivalent
- o operate a local campus in WA
- have an appropriate accounting body to manage its financial affairs, with the capacity to ensure RIS funds are used to support research activities and to account for the use of RIS funds granted to it.
- HSP, private hospitals and PPP providers must:
  - o be located in WA and deliver health services to the WA community
  - have a program of health and/or medical research overseen by a Director of Research or equivalent
  - for HSP and PPP partner hospitals, submit applications at a HSP or PPP provider level (i.e. not at hospital level)
  - o for private hospitals applications may be at the individual hospital level.
- The Responsible Entity must not be part of an industry that produces products or services that may contribute to poor physical health or mental wellbeing of the community.
- The grant funding must not constitute the entire financial base of the Responsible Entity i.e. the Responsible Entity must have other external sources of income.
- The Responsible Entity must ensure applications meet all eligibility criteria as set out in these guidelines.
- Applications must be submitted in accordance with the 'Application instructions' section of this document.
- An application may be deemed ineligible and excluded from further consideration if OMRI identifies that:
  - o it does not meet all eligibility criteria as set out in these guidelines
  - o it includes any incomplete, false or misleading information
  - o it was submitted after the advertised closing date and time.
- Grant offers may be withdrawn if it is determined that eligibility criteria are not met.
- OMRI reserves the right to request further information and make final decisions regarding eligibility.
- Decisions made in relation to previous grant programs will not be regarded as precedents and will not be considered when assessing eligibility for this grant program.

#### 5. Program funding

Funding amounts up to \$10 million (excluding GST) are available to applicants to finance the Activity over a 12-month period (for the 2025 calendar year).

#### Stream 1

- A funding pool of \$17.5 million is available for Stream 1.
  - o an allocation of \$10 million is made available for MRIs only (Stream 1A)

- o an allocation of \$7.5 million is made available to MRIs, universities and hospitals (Stream 1B).
- Recipients receive a percentage of Stream 1A (if an MRI) and Stream 1B funds.

#### Stream 2

 A funding pool of \$7.5 million is available to MRIs, universities, HSPs, PPP providers and private hospitals.

Funding will only be made available for the scope of work described in the Application Form, or any modifications to the scope of work approved in writing by OMRI. The Department of Health will not underwrite any costs beyond the funding awarded through the Program.

The intention is that funding will be spent within WA unless goods and services expenditure items are not available in WA and/or it is beneficial to WA if goods or services are procured from outside WA.

All budget items should be adequately described and justified as consideration is given to budgets during the assessment process.

Budgets must be calculated accurately, as requests for additional funding will not be considered.

Funding is offered subject to budget availability, which could be varied in the event of unforeseen circumstances.

Stream 1 funding may only be expended on the indirect costs of research included in *Appendix 1: Stream 1 grant expenditure.* 

Stream 2 funding may only be expended on the operational costs included in *Appendix 2:* Stream 2 grant expenditure.

#### 6. Program conditions

The Responsible Entity must certify that *eligible research income* and *eligible operational research costs* claims meet all eligibility criteria. All information provided in the application is subject to external audit. OMRI reserves the right to request external audits of Applications at any time.

#### Stream 1 eligible research income claims

The **eligible research income** claimed:

- must be for health and/or medical research, received in the 2022, 2023 and 2024 calendar years and has been, or will be, directly expended through the Responsible Entity on the relevant research.
- must include a Field of Research Classification Code (determined by the Responsible Entity) and a grant or Research Governance Service identifier, where available
- may comprise 100 per cent of Category 1, 2, 3 and 4 research income as defined by the <u>Higher Education Research Data Collection (HERDC)</u> specifications for the collection of 2024 data received by the Responsible Entity.

The Responsible Entity may receive income directly from a funding source or via another WA, national or international institution. Where the funds are not provided to the Responsible Entity directly, the arrangements between the Responsible Entity and partner institutions must be made clear in either the original application or in the agreement

between the funding body and the research institution (such income would be shared income).

The 3-year average *eligible research income* must be equal to or greater than \$450,000.

Refer to Appendix 1: Stream 1 definitions and eligible research income claims for further definitions and a list of included and excluded **eligible research income** claims.

#### Stream 2 eligible operational costs claims

#### The **eligible operational costs** claimed:

- may include the costs of operating existing facilities that are shared with researchers based at external organisations beyond the host organisation's employees and affiliates, explicitly for the conduct of health and medical research
- may include the costs of providing an existing service that is made available to researchers based at external organisations beyond the host organisation's employees and affiliates, explicitly for the conduct of health and medical research
- may include the costs of operating equipment that is shared sector wide with researchers based at external organisations beyond the host organisation's employees and affiliates.

The operational costs must have been related to operating the research facility, service or equipment for the 2022, 2023 and 2024 calendar years and have been, or will be, directly incurred by the Responsible Entity on the relevant facility, service or equipment.

Eligible operational costs claimed in the Application should be a total operational cost for each research facility, service or equipment. The Responsible Entity is required to maintain evidence that supports each claim.

The operational costs associated with research facilities, equipment or services that are funded through a National Collaborative Research Infrastructure Strategy (NCRIS) or FHRI Fund WA Cohort Studies – Operational Support Program (WACS-OSP) cannot be claimed.

The Responsible Entity may receive income directly from a funding source or via another WA, national or international institution. Where the funds are not provided to the Responsible Entity directly, the arrangements between the Responsible Entity and partner institutions must be made clear in either the original application to the funding body or in the agreement between the funding body and the Responsible Entity (such income would be shared income).

Refer to Appendix 4: Stream 2 definitions and eligible operational costs claims for further definitions and a list of included and excluded **eligible research income** claims.

#### 7. Application instructions

The instructions below must be followed when making a submission:

- The Application Form and the Research Grant Income and Operational Cost worksheet available from the <u>FHRI Fund website</u> must be submitted by 1:00 pm (AWST) Tuesday 4 March 2025.
- The application must be completed in Arial font 11 point or larger.
- Electronic signatures are acceptable if approval to use the electronic signature has been obtained from that person.
- The Application Form and Research Grant Income and Operational Cost worksheet
  is to be emailed to <a href="DOH.OMRI@health.wa.gov.au">DOH.OMRI@health.wa.gov.au</a>. The Application Form is to be a
  single Adobe Acrobat PDF or Microsoft Word file, not exceeding 5 MBs, including
  CVs and bibliographic references (if applicable), and the Research Grant Income

and Operational Cost worksheet is to be a **single** Microsoft Excel workbook. The application document and email subject line must be titled as follows:

Responsible Entity – RIS2025 e.g. ABC – RIS2025

 Applications must be complete, include requested certifications and be submitted by the closing date/time. Consideration must be given to the time needed to comply with internal deadlines.

Acknowledgement of receipt of the Application Form will be provided via email to the Responsible Entity within 5 working days of the closing date.

Queries regarding the application process should be directed by email to <a href="mailto:DOH.OMRI@health.wa.gov.au">DOH.OMRI@health.wa.gov.au</a> with the subject line beginning with 'Query – RIS2025'.

#### 8. Assessment process

Funding will be awarded based on funding formulas.

#### Stream 1 grant

Stream 1A allocation (for MRIs only) + Stream 1B allocation:

- Stream 1A allocation
   % eligible research income claimed = % of Stream 1A funding pool
- Stream 1B allocation
   % of eligible research income claimed = % of Stream 1B funding pool.

#### Stream 2 grant

% of eligible operational research costs claimed = % of Stream 2 funding pool.

Based on the assessment the Department of Health will determine and approve the awarding of grants in accordance with the Department of Health financial and procurement processes and delegation authorities.

OMRI reserves the right to offer lower funding rates than requested and/or request modification to the Activity on a case-by-case basis.

#### 9. Contractual arrangements

Grants are offered in accordance with the Department of Health Grant Funding Agreement (and its Terms and Conditions) which is a legal agreement between the Department of Health (Us) and the Responsible Entity (You).

The Department of Health reserves the right to withdraw an offer of award to a Responsible Entity if the Grant Funding Agreement and/or Grant Funding Agreement Terms and Conditions cannot be agreed between the parties.

#### Insurance

A Responsible Entity external to the WA public health system will be required to provide evidence of insurance as a condition of the Grant Funding Agreement which may include:

- Public Liability (mandatory for all grants)
- Professional Indemnity (mandatory if the Responsible Entity is conducting a clinical trial, provides any form of medical treatment or advice, training, or will provide any tailored design, advice or specification services)
- Property for the Responsible Entity's replacement value of assets (mandatory for building, plant, machinery, equipment)

- Workers Compensation (mandatory if the Responsible Entity has employees or is paying salaries, noting this includes payments to working Directors)
- Product Liability (mandatory if the Responsible Entity manufactures, supplies, sells, services or repairs a product)
- Motor Vehicle if the Responsible Entity owns vehicles
- Clinical Trials if the Responsible Entity undertakes clinical trials (note this insurance may include Professional Indemnity)
- Cyber Liability if the Activity involves confidential data, e.g. identifiable patient information.

OMRI recommends that you seek advice from your insurance advisors to confirm what level and type of insurance is required for the Activity.

#### Requests for variation

Requests for variations to the Grant Funding Agreement must be directed to OMRI. Approval of the variation will be at the discretion of the Department of Health. If variations are not approved this could result in termination of the grant with associated funding reverting to, or being recoverable by, the Department of Health, where for example eligibility or viability of the Activity is affected.

#### 10. Funding conditions

#### Payment instalments

Funding will be provided in a single instalment\* to the Responsible Entity as follows:

- The instalment will be subject to execution of a Grant Funding Agreement
- \* Within the WA public health system, payment will be made to the Responsible Entity via a General Ledger Journal (GLJ) transfer progressively upon receipt of evidence of expenditure.

#### Partial payment or suspension of funds

The Department of Health reserves the right to:

- provide funding instalments in parts, based on milestone achievement and risk assessment of future milestones
- suspend payment of funding instalments or part instalments where Activity viability has become uncertain.

#### Termination of funds

Funds shall revert to, or be recoverable by, the Department of Health in instances where:

- eligibility requirements are no longer met
- funds are used for purposes other than those for which they were awarded
- funds are not fully expended at the conclusion of the Activity (including any extensions approved by OMRI)
- it is determined that misleading or fraudulent information has been provided
- other entities fund or are involved in the Activity that are part of an industry that produces products or services that may contribute to poor physical health or mental wellbeing of the community
- eligible research income was incorrectly claimed in the Application Form.

#### 11. Reporting

The Responsible Entity are responsible for meeting reporting requirements over the duration of the Activity and at its conclusion.

All reports are to be completed on templates provided by OMRI.

#### Final Activity Report

A Final Report detailing the Activity and outcomes must be submitted to OMRI at the conclusion of the Activity. Failure to submit the Final Report at this time may render the Responsible Entity ineligible for further funding from the FHRI Fund and OMRI until the Final Report is received.

This report should demonstrate how the funding has contributed to the aims and intended outcomes of the RIS program (as provided in Section 2 Purpose).

For Stream 2 the report should include how the research facilities, equipment and/or services claimed have been shared sector wide.

#### Financial Report

A financial acquittal statement outlining the expenditure of funds must be submitted to OMRI at the conclusion of the Activity. Acquittal statements must be certified by an authorised finance officer (or equivalent) of the Responsible Entity.

OMRI reserves the right to request interim Financial Reports at any stage during the Activity.

Any unexpended funds must be returned to the Department of Health. Any overexpenditure is the responsibility of the Responsible Entity, and no claim may be made against the Department of Health.

#### 12. Publicising, acknowledgements and publications

The Minister for Medical Research and/or the Department of Health will publicly announce recipients, including the title of the Activity. All other parties must withhold announcement/media coverage until after OMRI advises this has occurred.

Acknowledgement of FHRI Fund support must be made in publications, conference presentations, public discussion, press statements etc. A copy of any published material or media must be provided to Us.

#### 13. Confidentiality

The Responsible Entity, funding amount, plain language summaries and sections indicated on applications or reports may be used for publicity purposes.

All other information provided in applications and reports will be maintained confidentially by OMRI, review panels, evaluation panels and the FHRI Fund Advisory Council. If requests are received by OMRI to make public any aspect of the Activity, other than the aspects listed above, the authorisation of the Responsible Entity will be sought, notwithstanding information requested under the <u>Freedom of Information Act 1992 (WA)</u> or information pertaining to the receipt of State Government financial assistance tabled in the Parliament of Western Australia.

#### 14. Evaluation

OMRI undertakes evaluations of Funding Programs, which will include unsuccessful applications. All parties in the application, including team members and consumer representatives, are required to contribute to the evaluation.

#### 15. Complaints

Responsible Entities who feel that their interests have been adversely affected by an action taken by OMRI in administering the Program may lodge a complaint. Complaints can only be considered when they refer to the administrative process and not to the funding decision. Complaints must be submitted via email (marked Confidential) to: Deputy Director General (OfficeoftheDDG@health.wa.gov.au)

#### **Appendix 1: Stream 1 grant expenditure**

Stream 1 grants may be spent on the indirect costs of research which may include the following items if they are associated with the Responsible Entity's conduct of health and medical research:

Included expenditure	
salaries of research support and administrative support staff (including salary on-costs) to provide general support for research activity (e.g. compliance, grant management, legal services)	common services (e.g. telephone, fax, copying, postage, stationery, printing, computer network, accounts system)
research equipment and lab supplies	travel costs not directly related to individual research projects
research administration	information technology, computers and software
publication costs	provisional patents
research workshop expenses including catering	vehicle running costs
cleaning and maintenance, utilities (e.g. water, gas, electricity)	insurances
library services	rates and charges

Stream 1 grants may not be spent on the following items:

Excluded expenditure
costs claimed under Stream 2
costs associated with NCRIS or WACS-OSP funded facilities, services or equipment
salaries of research support and administrative support staff (including salary on-costs) working on a specific research project
salaries of teaching and research staff (including salary on-costs) including the cost of 'buying time' to free such staff to do more research
salaries of research staff at the institutional level (including salary on-costs) including Deputy Vice-Chancellor of Research, Director of Institute, Director of Research, Research Governance and Ethics Officers or equivalent positions
personal allowances
individual or organisational projects or major capital works

external consultancies, evaluations or project management costs

meals/beverages/catering not directly related to research conferences and seminars

travel costs directly related to individual projects (including fares, accommodation, travel allowances)

postgraduate student stipends

training and education

#### **Appendix 2: Stream 2 grant expenditure**

Stream 2 grants may be spent on the operational costs of shared facilities, services and equipment which were claimed in Stream 2 and may include the following items:

#### **Included expenditure**

operational and maintenance costs of the facilities (spaces), services and/or equipment that were included in the Stream 2 application

the indirect costs of research as defined in Appendix 1 as they relate to the facilities (spaces), services and/or equipment that were included in the Stream 2 application

expanding access and availability of the shared facilities (spaces), services and/or equipment that were included in the Stream 2 application to the broader health and medical research sector

implementing systems and processes to broaden access of the shared facilities (spaces), services and/or equipment that were included in the Stream 2 application

costs of data collection and maintaining datasets associated with the shared facilities (spaces), services and/or equipment that were included in the Stream 2 application

operational and equipment costs for services that can be shared such as biostatistician, data analysis, health economics, grant writing services with the broader sector

catering directly related to research conferences and seminars hosted by the shared facilities (spaces) and/or services that were included in the Stream 2 application

Stream 2 grants may not be spent on the following items:

#### **Excluded expenditure**

capital expenses such as purchase of major equipment, facility upgrades or building/premises works

operational costs of facilities, equipment or services that are funded through a National Collaborative Research Infrastructure Strategy (NCRIS) or FHRI Fund WA Cohort Studies – Operational Support Program (WACS-OSP) grant

salaries not associated with operating the shared research facility, equipment or service

meals/beverages/catering not directly related to research conferences and seminars hosted by the shared research facility (space), equipment or service

salaries of teaching and research staff (including salary on-costs) including the cost of 'buying time' to free such staff to do more research

salaries of research staff at the institutional level (including salary on-costs) including Deputy Vice-Chancellor Research, Director of Institute, Director of Research or equivalent positions

# Appendix 3: Stream 1 definitions and eligible research income claims

Definitions				
Eligible research income	eligible research income must be for health and medical research and can be HERDC Cat 1 – 4 income. It is calculated as an average over the past 3-years (2022, 2023 and 2024 calendar years) and the 3-year average must be equal to or greater than \$450,000.			
Health and medical research	<ul> <li>includes:</li> <li>research to understand human health, wellbeing, and disease, and the biological, behavioural, social, and environmental factors that contribute to these</li> <li>research to measure the magnitude and distribution of a health problem</li> <li>research to develop solutions, interventions, products, and technologies that could contribute to improving human health and wellbeing</li> <li>research to understand how interventions, policies and programs aimed at improving human health and wellbeing can be most effectively delivered.</li> </ul>			
HERDC income	research income can be claimed at a rate of 100% and includes income that aligns with the following categories of the Higher Education Research Data Collection (HERDC) specifications for the collection of 2024 data  • Category 1: Australian competitive grant research income consists only of those research schemes/programs that are open and competitively listed for the applicable years.  may include grants from the Australian Research Council (ARC), Medical Research Future Funding (MRFF), National Health and Medical Research Council (NHMRC), Commonwealth and State government.  for the purposes of this Program, competitive research income is defined as income from sources that have a well-defined mechanism for competition which is nationally advertised and available.  • Category 2: Other Public Sector Research Funding consists of income received from the Australian public sector that is not eligible for inclusion as Category 1 income.  may include grants from targeted and specific schemes/ programs from the ARC, NHMRC and MRFF, other Commonwealth and State government.  • Category 3: Industry and other Funding for Research consists of income received from the private sector, philanthropic and international sources that are not eligible as Category 1 or Category 2 income.  may include research income from:			

	<ul> <li>Australian and international philanthropy, donations, bequests, charities and foundations</li> <li>CRCs in which the entity was not a core participant or signatory.</li> <li>Australian and international for-profit organisations</li> <li>Australian and international not-for profit organisations</li> <li>International government and industry</li> </ul> • Category 4: Cooperative Research Centre (CRC) Funding consists of research income received from a CRC in which the Responsible Entity is a core participant (i.e. a signatory to the CRC's Commonwealth Agreement).	
Nationally advertised and available	all potential applicants in each Australian state and territory must be given an equal opportunity to become aware of and apply to the scheme through the use of any combination of direct contact: newspapers, journals, magazines, internet, or any other printed or electronic media.  there must not be preferential treatment of any applicant during the assessment process based solely on the type of institution nor its geographical location.	
Competitive	the project or scheme must have publicly available selection criteria against which all applications are assessed against all other applications submitted in that selection process. The scheme must have publicly available rules stating how assessments are conducted, including provisions to manage conflicts of interest which are binding on the selection panel.  projects funded as a result of a direct approach from a funding body are not considered to be competitive.	
Shared income	income is considered 'shared' if part of a payment is passed from the primary recipient to another named party according to a grant, contract or other enforceable agreement or a tender/application for funding.  Parties must be named in a grant, contract or any other enforceable agreement or in the initial tender/application for funding.	

Stream 1 eligible research grant income that can be claimed can include the following items:

#### Included eligible research income claims

eligible research grant income received in bank account in 2022, 2023 and 2024 to assist with sharing split grants, split grants may be claimed in the same year funds are received by the affiliated university even if the income is paid to the affiliated organisation's bank account in a later year

for collaborative projects, a Responsible Entity should only report their portion of income

fellowship and clinical trial funding for health and/or medical research

the component of national infrastructure or operational grants won through a competitive process used for the direct costs of research. The portion used for indirect costs or infrastructure cannot be claimed

stipends/donations used to undertake research can be included as non-competitive grant income

Stream 1 research grant income claims cannot include the following items:

#### **Excluded eligible research income claims**

grant income that has been announced/ awarded, but funds have not been received in the Responsible Entities bank account

the interest accrued or endowments raised on research grants

infrastructure or operational grants or income received for the indirect costs of research or infrastructure costs

research grants where the secondary purposes (not the primary purpose/aim) of the research is for healthcare and health outcomes.

in-kind contributions

grants received in bank account in prior years but are being distributed in 2022, 2023 or 2024

philanthropic funding/donations received by a research organisation but are not being used to for research activity

funds received/donated and then used as a research award or prize, for leadership training or a grant.

#### Appendix 4: Stream 2 definitions and eligible operational costs claims

Definitions				
Shared research facilities	eligible facilities (spaces) must have the potential to be shared and made available and accessible to researchers based at external organisations beyond the host organisation's employees and affiliates.			
	facilities may include specific laboratories and research spaces (not entire premises) used for health and medical research.			
Shared research equipment	eligible equipment must have the potential to be shared and made available and accessible to researchers based at external organisations beyond the host organisation's employees and affiliates.			
	equipment may include imaging, pathology, computing, surgical and other medical equipment used for research purposes.			
Shared research services	eligible services must have the potential to be shared and made available and accessible to researchers based at external organisations beyond the host organisation's employees and affiliates.			
	services include specific research services that are considered to add value to the conduct of research such as a biostatistician service and health economics expertise.			

Stream 2 eligible operational costs that can be claimed include the following items:

#### **Included operational costs claims**

operating costs of facilities (spaces), equipment or services that you intend to open up access to the wider health and medical research sector

operating costs of shared research facilities (spaces) that include basic consumables, support staff, overheads, rent, hire of equipment (not cost of equipment), fees, sample collection/storage, software licences, essential resources, maintenance, central sterilisation service, plant maintenance, cleaning & waste services and facility management

operating costs of shared research services may that include salaries, consumables and equipment required to operate a research service for researchers beyond the host organisation's employees and affiliates

operating costs of shared research equipment that may include operator/staff costs, servicing costs, routine software upgrades, other maintenance costs and registration costs.

operational costs may be the gross costs incurred prior to any rebates/income/fees for service, received

operating costs of university-based research institutes if they are made available to researchers beyond the host organisation's employees and affiliates

operating costs associated with providing Ethics, Governance and Clinical Trials Liaison services when they are provided to Coordinating Principal Investigators that are external to the Responsible Entity

For shared research facilities (spaces), services or equipment used for a variety of research disciplines, only the portion of costs for operating the facilities (spaces), services or equipment for health and medical research

Where facilities (spaces), services or equipment is used for a variety of purposes (one of which is health and medical research) the onus is on the university to determine the portion of costs related to health and medical research use which can be claimed. The Responsible Entity should use a defendable justification/methodology for their determination

Research facility operating costs may include:

Stream 2 operational costs that cannot be claimed include the following items:

#### **Excluded operational costs claims**

operational costs associated with premises or delivering services that are not research activity

operational costs of non-research services including information technology, security, maintenance, student services, salaries (including for Chairs of research) and other and other non-research activity costs

equipment, facilities (spaces) and services which have potential to be shared with the broader research community but where there is no intention to share the infrastructure, equipment and services

shared research facilities (spaces), equipment and services being funded through RIS Stream 1

shared research facilities (spaces), equipment and services already fully funded by the Department of Health or FHRI Fund or other state government source (except for Ethics, Governance and Clinical Trials Liaison services based at WA HSPs when they are provided to Coordinating Principal Investigators that are external to the HSP)

the operational costs associated with facilities (spaces), equipment or services that are funded through a National Collaborative Research Infrastructure Strategy (NCRIS) or FHRI Fund WA Cohort Studies – Operational Support Program (WACS-OSP) cannot be claimed.

the portion of costs for operating research facilities (spaces), services or equipment when they are used for provision of health care and other type research

for services that provide both clinical and research services, only the operational costs associated with the research activity can be included

equipment, facilities (spaces) and services that are not currently shared beyond the Responsible Entity and its affiliates

maintenance and operational costs and fees that are not assigned to a specific shared facility (space), equipment or service

costs associated with lab start-up and fit-out such as equipment and furniture etc

research support costs for affiliated Hospital Research Foundations



# This document can be made available in alternative formats on request for a person with a disability.

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